VT Castlebay UK Equity Fund
Application Form for the Purchase of Shares

This form duly completed should be sent to:
Valu-Trac Investment Management Limited, Orton, Fochabers, Moray, IV32 7QE
Tel: 01343 880344, Investor Services: 0330 678 4760, or for email, investorservices@valu-trac.com
If sent by email, please confirm to Valu-Trac Investment Management Limited by telephone immediately.

Important Information:
Before investing, you should read an up-to-date version of the Prospectus, Key Investor Information Document (KIID) for the fund in which you wish to invest. There you will find more information about the investment, including details about the fund's different share classes: be sure to choose the appropriate one(s) for you. They also explain fund charges, including the ongoing charge, a deduction from your fund which Valu-Trac Investment Management Limited makes to cover the costs of investment management and administration.

If you have any questions or would like a free up-to-date copy of the KIID, Prospectus, most recent Annual or Interim Fund Reports or additional application forms, please visit www.valu-trac.com, call: 0330 678 4760 or email investorservices@valu-trac.com. All literature provided will be in English.

If a financial adviser has arranged this deal, depending upon the share class chosen, commission may be payable where advice has not been given.

Before you invest:

- If you are resident in the USA, in order to comply with US law, we are unable to accept your investment. If you submit an application to us it will be rejected and returned to you.
- If you are unsure whether this investment is suitable for your needs, please consult a financial adviser.

1. Purchase Application

I/We, the undersigned, having received and read a copy of the most recent Prospectus for the Valu-Trac Investment Funds ICVC ("the Company")(together with any addendums or supplements thereto) and the Key Investor Information Document, hereby apply for such number of Shares in the Company as may be purchased with the amounts indicated below at the subscription price determined in accordance with the Prospectus:

VT Castlebay UK Equity Fund

Share Class:	A Class Income GBP	☐
	A Class Accumulation GBP	☐
	B Class Income GBP	☐
	B Class Accumulation GBP	☐
	C Class Income GBP	☐
	C Class Accumulation GBP	☐
Amount:		GBP / shares (please delete as appropriate)

2. Details of Applicant(s)

Please complete this section if you are the registered contact. Please note, if you do not complete this information your application may be rejected.

Company/Nominee Name	
or Title	
Surname	
Forenames	
Address	
Postcode	
Country	
Date of Birth	
Mobile Number*	
Email*	

	Joint Holder
Title	
Surname	
Forenames	
Address	
Postcode	
Country	
Date of Birth	
Mobile Number*	
Email*	

***It is mandatory to provide both a contact number and an email address to allow us to process investor verification (details below)**

3. Bank Details of Applicant

Name of Bank	
Address	
Account Name	
Account Number	
Bank Sort Code	
or Bank Swift Address	
or Bank ABA Number	

Distributions (if applicable) will be paid to the bank account above

Data Protection

For full information on how VT processes, personal information and what your rights are, please see our Privacy Policy online at www.valu-trac.com. Your data will be shared with our investor verification partner Smartsearch for investor verification purposes only. The Smartsearch privacy policy can be found at smartsearch.com/privacy-notice. Please refer to our website for further information on Smartsearch and our investor verification process.

4. FATCA Declaration of U.S. Citizenship or U.S. Residence for Tax Purposes

Please tick either (a) or (b) and complete as appropriate.

☐ a) I confirm that I am not a U.S. citizen and/or resident in the U.S. for tax purposes.

☐ b) I confirm that I am a U.S. citizen and/or resident in the U.S. for tax purposes and my U.S. federal taxpayer identifying number (U.S. TIN) is as follows: _____

5. CRS Declaration of Tax Residency

Please indicate all countries in which you are resident for tax purposes and the associated Taxpayer Identification Number(s) in the below. Please see the CRS Portal for more information on Tax Residency.

Country of Tax Residency	Tax ID Number (UK Individuals should use their UK National Insurance Number)

Payment Method – Payment is by wire transfer only

Funds should be wired for value on the settlement date of this transaction which will have been agreed in advance with Valu-Trac Investment Management Limited and which will be stated on the Contract Note issued to you by Valu-Trac Investment Management Limited. If funds are received on any date other than this agreed settlement date they may be returned by the Bank.

6. Authorised Signatories

This application is authorised by the following who is/are person(s) authorised to give all instructions and to take all actions on my/our behalf in connection with any Shares held by me/us in the VT Castlebay UK Equity Fund.

Name of authorised Person(s)	Signature of Authorised Person(s)	Date

Any One to sign ☐ Any Two to sign ☐ Separate list attached ☐

Note: If the authorised signatory listing is a separate document forwarded with the original application, this listing needs to be accompanied by an original or certified copy of the company's mandate which approves the signatory listing.

7. Investor Verification Requirements

Please provide the following information to Valu-Trac Investment Management Limited

Corporate entity

Company Name	
Company Registered Address	
Company Registration Number	

Copy of authorised signatory list, including specimen signatures;

The information you provide will be subject to verification checks in association with our verification partner Smartsearch. You do not need to provide any further documentation unless we specifically request more details from you.

Trusts

If the Trust is an incorporated organisation, you are not required to provide any further documentation unless we specifically request more details from you. The information you provide will be subject to verification checks in association with our verification partner Smartsearch.

IF the trust is a Private Trust, please provide;

a copy of the most recent trust deed along with email addresses and mobile phone contact details for the settlor and all beneficiaries.

AND follow the same process as described for individuals below.

Individuals

Valu-Trac Investment Management Limited has partnered with SmartSearch UK to complete our investor verification.

For further details about Smartsearch and the investor verification process please refer to our website.

On receipt of the completed application form stating an email address and mobile phone number you will receive an email from clientservices@smartsearchuk.com, you will also receive an SMS from Smartsearch with instructions on how to complete the verification process.

This will usually require you to upload a photo of a proof of ID document, such as driving licence or passport, and completing the facial recognition process involving capturing a live image of yourself.

Please note that for joint applicants, each applicant will have to follow the above described process.

IMPORTANT NOTICE

Until the verification process is fully completed by us, we will not be able to process your subscription or undertake any transactions on your behalf. Please ensure you complete the investor verification process detailed above to minimise any inconvenience.

You will be verified by us, in association with our identity verification partner Smartsearch based on the information provided above, and you do not need to provide any further documentation unless we specifically request more details from you.