

## Top 5 Venues 2020 Retail

Client type	Interaction	Class of Instrument	Period start
Retail	Execution	Debt instruments, Bonds	01/01/2020
<b>Top five execution venues ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a % of total in that class</b>	<b>Proportion of orders executed as % of total in that class</b>	<b>% of passive orders</b>
Winterflood Gilts Ltd 213800BD519H2YV9R748	23.25	26.92	0
Canaccord Genuity ZBU7V5NIMN4ILRFC23	16.96	16.67	0
NatWest Markets Plc RR3QWICWWIPCS8A4S074	11.86	24.79	0
Peel Hunt LLP 5493007DWNOR4YBM4C84	10.72	10.87	0
Lloyds Bank Plc H7FNTJ4851HGOEXQ1Z70	7.89	2.93	0

Client type	Interaction	Class of Instrument	Period start
Retail	Execution	Debt instruments, Money markets instruments	01/01/2020
<b>Top five execution venues ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a % of total in that class</b>	<b>Proportion of orders executed as % of total in that class</b>	<b>% of passive orders</b>
Guy Butler Ltd 213800AG2H8MB5FGJ538	47.04	50	0
The Toronto-Dominion Bank PT3QB789TSUIDF371261	42.85	25	0
Bridport & Co. (Jersey) Ltd 2138001S6PWXXBKBX9S40	10.11	25	0

Client type	Interaction	Class of Instrument	Period start
Retail	Execution	Equities - Shares & Depository Receipts (T12)	01/01/2020
<b>Top five execution venues ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a % of total in that class</b>	<b>Proportion of orders executed as % of total in that class</b>	<b>% of passive orders</b>

Winterflood Securities Ltd 8BRUP6V1DX3PIG2R0745	25.97	31.09	0
Peel Hunt LLP 5493007DWN0R4YBM4C84	22.79	21.65	0
N+1 Singer Capital Markets Ltd 213800ITO7QMNQ9SFG53	6.96	7.93	0
Joh Berenberg Gossler & Co. 529900UC2OD7II24Z667	5.72	5.83	0
Shore Capital Stockbrokers Ltd 2138009KZO3MK7TV6372	5.42	7.29	0

Client type	Interaction	Class of Instrument	Period start
<b>Retail</b>	<b>Execution</b>	<b>Equities - Shares &amp; Depositary Receipts (T34)</b>	<b>01/01/2020</b>
<b>Top five execution venues ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a % of total in that class</b>	<b>Proportion of orders executed as % of total in that class</b>	<b>% of passive orders</b>
Peel Hunt LLP 5493007DWN0R4YBM4C84	32.72	30.53	0
Winterflood Securities Ltd 8BRUP6V1DX3PIG2R0745	30.76	33.6	0
Numis Securities Plc 213800P3F4RT97WDSX47	7.95	6.54	0
Investec Bank Plc 84S0VF8TSMH0T6D4K848	5.14	6.19	0
Shore Capital Stockbrokers Ltd 2138009KZO3MK7TV6372	4.08	4.21	0

Client type	Interaction	Class of Instrument	Period start
<b>Retail</b>	<b>Execution</b>	<b>Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)</b>	<b>01/01/2020</b>
<b>Top five execution venues ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a % of total in that class</b>	<b>Proportion of orders executed as % of total in that class</b>	<b>% of passive orders</b>
Winterflood Securities Ltd 8BRUP6V1DX3PIG2R0745	32.73	48.59	0
Peel Hunt LLP 5493007DWN0R4YBM4C84	24.16	19.63	0

Flow Traders BV 549300CLJI9XDH12XV51	23.24	13.03	0
Investec Bank Plc 84S0VF8TSMH0T6D4K848	8.12	8.08	0
Jane Street Financial Ltd 549300ZHEHX8M31RP142	3.14	2.24	0

<b>Client type</b>	<b>Interaction</b>	<b>Class of Instrument</b>	<b>Period start</b>
Retail	Execution	Other instruments	01/01/2020
<b>Top five execution venues ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a % of total in that class</b>	<b>Proportion of orders executed as % of total in that class</b>	<b>% of passive orders</b>
Aegon UK Plc 213800HW5JU8BA4IMC33	82.49	84.61	0
Clearstream Banking SA 222100GEYIQQNDBR9J43	17.51	15.39	0

<b>Client type</b>	<b>Interaction</b>	<b>Class of Instrument</b>	<b>Period start</b>
Retail	Placement/transmission	Debt instruments, Bonds	01/01/2020
<b>Top five investment firms ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a % of total in that class</b>	<b>Proportion of orders executed as % of total in that class</b>	<b>% of passive orders</b>
Peel Hunt LLP 5493007DWN0R4YBM4C84	100	100	0

<b>Client type</b>	<b>Interaction</b>	<b>Class of Instrument</b>	<b>Period start</b>
Retail	Placement/transmission	Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)	01/01/2020
<b>Top five investment firms ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a % of total in that class</b>	<b>Proportion of orders executed as % of total in that class</b>	<b>% of passive orders</b>
Peel Hunt LLP 5493007DWN0R4YBM4C84	100	100	0

Client type	Interaction	Class of Instrument	Period start
Retail	Placement/transmission	Other instruments	01/01/2020
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a % of total in that class	Proportion of orders executed as % of total in that class	% of passive orders
Peel Hunt LLP 5493007DWN0R4YBM4C84	100	100	0

Client type	Interaction	Class of Instrument	Period start
Retail	Placement/transmission	Equities - Shares & Depository Receipts (T12)	43831
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a % of total in that class	Proportion of orders executed as % of total in that class	% of passive orders
Peel Hunt LLP 5493007DWN0R4YBM4C84	100	100	0

Client type	Interaction	Class of Instrument	Period start
Retail	Placement/transmission	Equities - Shares & Depository Receipts (T34)	01/01/2020
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a % of total in that class	Proportion of orders executed as % of total in that class	% of passive orders
Peel Hunt LLP 5493007DWN0R4YBM4C84	100	100	0

Client type	Interaction	Class of Instrument	Period start
Retail	Placement/transmission	Equities - Shares & Depository Receipts (T56)	01/01/2020
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a % of total in that class	Proportion of orders executed as % of total in that class	% of passive orders
Peel Hunt LLP 5493007DWN0R4YBM4C84	100	100	0

Client type	Interaction	Class of Instrument	Period start
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Client type	Interaction	Class of Instrument	Period start
Retail	Execution	Securitized Derivatives, Warrants and Certificate Derivatives	01/01/2020
<b>Top five execution venues ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a % of total in that class</b>	<b>Proportion of orders executed as % of total in that class</b>	<b>% of passive orders</b>
Societe Generale SA O2RNE8IBXP4R0TD8PU41	58.64	31.03	0
Winterflood Securities Ltd 8BRUP6V1DX3PIG2R0745	21.24	32.19	0
N+1 Singer Capital Markets Ltd 213800ITO7QMNQ9SFG53	7.81	11.35	0
Shore Capital Stockbrokers Ltd 2138009KZO3MK7TV6372	5.26	6.84	0
Peel Hunt LLP 5493007DWNOR4YBM4C84	2.98	5.29	0

Client type	Interaction	Class of Instrument	Period start
Retail	Execution	Securitized Derivatives, Other securitized derivatives	01/01/2020
<b>Top five execution venues ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a % of total in that class</b>	<b>Proportion of orders executed as % of total in that class</b>	<b>% of passive orders</b>
Winterflood Securities Ltd 8BRUP6V1DX3PIG2R0745	47.79	33.13	0
Jefferies international Ltd S5THZMDUJCTQZBTRVI98	37.41	36.81	0
Cantor Fitzgerald 549300KM6VUHPKQLQX53	9.89	2.45	0
Investec Bank Plc 84S0VF8TSMH0T6D4K848	4.29	25.77	0
Peel Hunt LLP 5493007DWNOR4YBM4C84	0.62	1.84	0

Client type	Interaction	Class of Instrument	Period start
Retail	Execution	Structured finance instruments	01/01/2020
<b>Top five execution venues ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a % of total in that class</b>	<b>Proportion of orders executed as % of total in that class</b>	<b>% of passive orders</b>

UBS Ag BFM8T61CT2L1QCEMIK50	100	100	0
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Client type	Interaction	Class of Instrument	Period start
Retail	Execution	Equities - Shares & Depository Receipts (T56)	01/01/2020
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a % of total in that class	Proportion of orders executed as % of total in that class	% of passive orders
Winterflood Securities Ltd 8BRUP6V1DX3PIG2R0745	38.61	43.99	0
Peel Hunt LLP 5493007DWN0R4YBM4C84	37.29	30.01	0
Virtu Financial Ireland Ltd 549300RFD07WYQ63UJ33	7.7	8.65	0
Shore Capital Stockbrokers Ltd 2138009KZO3MK7TV6372	5.29	2.81	0
Jefferies international Ltd S5THZMDUJCTQZBTRVI98	3.92	2.91	0

Period end	Notification if <1 average trade per business day in the previous year
31/12/2020	N
% of aggressive orders	% of directed orders
100	0
100	0
99.91	0
100	0
100	0

Period end	Notification if <1 average trade per business day in the previous year
31/12/2020	Y
% of aggressive orders	% of directed orders
100	0
100	0
100	0

Period end	Notification if <1 average trade per business day in the previous year
31/12/2020	N
% of aggressive orders	% of directed orders

100	0
100	0
100	0
100	0
100	0.01

Period end	Notification if <1 average trade per business day in the previous year
31/12/2020	N
% of aggressive orders	% of directed orders
100	0
100	0
100	0
100	0
100	0

Period end	Notification if <1 average trade per business day in the previous year
31/12/2020	N
% of aggressive orders	% of directed orders
100	0
100	0



100	0
100	0
100	0

<b>Period end</b>	<b>Notification if &lt;1 average trade per business day in the previous year</b>
31/12/2020	N
<b>% of aggressive orders</b>	<b>% of directed orders</b>
100	0
100	0

<b>Period end</b>	<b>Notification if &lt;1 average trade per business day in the previous year</b>
31/12/2020	Y
<b>% of aggressive orders</b>	<b>% of directed orders</b>
100	0

<b>Period end</b>	<b>Notification if &lt;1 average trade per business day in the previous year</b>
31/12/2020	Y
<b>% of aggressive orders</b>	<b>% of directed orders</b>
100	0

	<b>Notification if &lt;1 average trade per business day in the previous year</b>
<b>Period end</b>	
31/12/2020	Y
<b>% of aggressive orders</b>	<b>% of directed orders</b>
100	0

	<b>Notification if &lt;1 average trade per business day in the previous year</b>
<b>Period end</b>	
44196	N
<b>% of aggressive orders</b>	<b>% of directed orders</b>
100	0

	<b>Notification if &lt;1 average trade per business day in the previous year</b>
<b>Period end</b>	
31/12/2020	N
<b>% of aggressive orders</b>	<b>% of directed orders</b>
100	0

	<b>Notification if &lt;1 average trade per business day in the previous year</b>
<b>Period end</b>	
31/12/2020	N
<b>% of aggressive orders</b>	<b>% of directed orders</b>
100	0

	<b>Notification if &lt;1 average trade per business day in the previous year</b>
<b>Period end</b>	

<b>31/12/2020 N</b>	
<b>% of aggressive orders</b>	<b>% of directed orders</b>
100	0
100	0
100	0
100	0
100	0

	<b>Notification if &lt;1 average trade per business day in the previous year</b>
<b>Period end</b>	
<b>31/12/2020 N</b>	
<b>% of aggressive orders</b>	<b>% of directed orders</b>
100	0
100	0
100	0
100	0
100	0

	<b>Notification if &lt;1 average trade per business day in the previous year</b>
<b>Period end</b>	
<b>31/12/2020 Y</b>	
<b>% of aggressive orders</b>	<b>% of directed orders</b>

100	0
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Period end	Notification if <1 average trade per business day in the previous year
31/12/2020	N
% of aggressive orders	% of directed orders
100	0
100	0
100	0
100	0
100	0